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Practitioner's Docket No. <u>U 015505-9</u>

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s)  for	rai of	tent application
Title of invention  OR  In re application of: YIGAL SHAPIRO, et al Serial No.: 10/516,487 Group No.: 2811 Filed: June 24, 2005 Examiner: N/A For: PREVENTION OF TAMPERING IN ELECTRONIC DEVICES  Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450  TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))  CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10* (When using Express Mail. the Express Mail label number is mandatory; Express Mail certification is optional.)  I hereby certify that, on the date shown below, this correspondence is being:  MAILING  deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450. Alexandria, VA 22313-1450. 37 C.F.R. 1.8(a)  With sufficient postage as first class mail.  By "Express Mail Post Office to Addressee" Mailing Label No  TRANSMISSION  TRANSMISSION  TRANSMISSION  TRANSMISSION  CLIFFORD J. MASS (type of print name of person certifying)  * Only the date of filling (§ 1.6) will be the date used in a patent term altiplument calculation, although the date on any certification.	OI,	
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		"Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest
possible filing date for patent term adjustment calculations.		

NOTE: 37 C.F.R. 1.98(b): (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date. Each U.S. patent application published listed in an information disclosure statement (2) shall be identified by applicant, patent application publication number, and publication date. (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date. (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. (5) Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication,,date, and place of publication. **WARNING:** No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37  $C.F.R. \ \S \ 1.97(f).$ 

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

## IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

If a fee is required, please charge deposit account 12-0425.

Reg. No. 30,086

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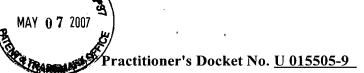
SIGNATURE OF PRACTITIONER

CLIFFORD J. MASS

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP 26 West 61st Street New York, N.Y. 10023



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

YIGAL SHAPIRO, et al

Serial No.: 10/516,487

Group No.: 2811

Filed: June 24, 2005

Examiner: N/A

For:

PREVENTION OF TAMPERING IN ELECTRONIC DEVICES

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached search report which issued in a corresponding foreign application and the attached reference (first page only for U.S. patent publications) which is also listed on the attached Form PTO-1449.

#### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

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Respectfully submitted,

CLIFFORD J. MASS LADAS & PARRY LLP 26 WEST 61ST STREET NEW YORK, NEW YORK 10023 REG. NO.30,086(212)708-1890

Sheet	1	of	1

## FORM PTO-1449U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.		
U 015505-9	10/516,487		
APP	PLICANT		
Yigal SH	IAPIRO et al.		
FILING DATE	GROUP		
June 24, 2005	2811		

EXAMINER INITIALS	REFERENCE DESIGNATION	DOCUMENT NUMBER	DATE	NAME	FILING D APPROP		
	AA	5,389,738	2/1995	Piosenka, et al			
	AB						
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